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Predicted multispecies unintended effects from outdoor genome editing

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1. Introduction

Gene/genome editing describes a family of approaches for making site-directed changes in target DNA or RNA polymers ([Segal and](#page-11-0) [Meckler, 2013](#page-11-0)). Usually included in this category are oligonucleotide mutagenesis methods (ODM), TALENs, zinc-finger nucleases (ZFNs), and CRISPR/Cas systems.

Except for ODM, genome editing involves a protein-nucleic acid interaction that results in the change of the nucleic acid at a predefined location. TALENs and ZFNs are proteins with engineered DNA binding domains that confer site-specificity that concentrates an embedded endonuclease activity. CRISPR/Cas uses small oligonucleotides (usually but not exclusively RNA) to guide the Cas nuclease to a predetermined site.

Genome editing makes it easier to manipulate genes within large genomes, such as those of plants, fungi, and animals, and to create null mutations (e.g., gene knockouts). Further refinements now make it possible to also change bases at predetermined sites on a DNA or RNA molecule [\(Tao et al., 2023](#page-11-0)). These gene technology efficiency improvements contribute to expectations of developing more productive food organisms and sustainable agroecosystems ([Shi et al., 2017; Zhang](#page-11-0) [et al., 2014; Wang et al., 2015](#page-11-0)).

Genome editing and other gene technology tools are being developed for open air applications, that is, outside of a laboratory [\(Heinemann](#page-11-0) [and Walker, 2019\)](#page-11-0). The low efficiency of these approaches outside of a laboratory is presently limiting but may improve [\(Demirer et al., 2021](#page-11-0); Vats et al., 2022). Proposed use in uncontrolled environments would also be compatible with deregulation of some applications of genome editing. Deregulation takes a number of forms, including adopting a tiered regulatory framework that defines some uses of genome editing as out of scope with any existing gene technology regulations ([Heinemann,](#page-11-0) [2019; Heinemann et al., 2023\)](#page-11-0). Reagents of out-of-scope techniques become potential environmental mutagens of emerging concern.

CRISPR/Cas is now the pre-eminent genome editing reagent because of its ease of use and the easy access to customized nucleic acid guides. The Cas nuclease is coupled with a crRNA molecule that metaphorically guides the nuclease to a target location in a DNA (e.g. Cas9) or RNA (e.g. Cas13) molecule ([Sharma et al., 2022](#page-11-0)). In their natural context, guides

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are transcribed from a region called CRISPR (Clustered Regularly Interspaced Short Palindromic Repeats). In a biotechnology context, CRISPR/Cas is a combination of a sequence agnostic Cas9 (or similar) endonuclease activity and a designed oligonucleotide ('guide', e.g. gRNA) that concentrates the endonuclease activity at sequences within the DNA or RNA polymeric substrate through hybridization ([Jinek et al.,](#page-11-0) [2012\)](#page-11-0). The gRNA is desired to have a high affinity for a target and a low affinity for non-target binding sites.

The catalytic properties conferred by the specificities of hybridization between gRNA and target DNA/RNA sequence of nucleotides result in an increase in the rate of intended hydrolysis of the nucleic acid polymer in the substrate molecule at an intended location. Derivative of the idea that the guide nucleic acid molecule acts as a reaction catalyst, the strength of the bonding between guide and substrate is presumed to be proportional to the probability that the nuclease reaction will complete.

However, the strength of pairing between the guide and substrate does not describe a digital reaction, as is inherent in the concept of a 'lock and key' metaphoric model for enzymatic catalysts. The interaction space will tolerate mismatches and the number it tolerates will depend on cellular variables such as local ion concentrations and temperature.

Magnets are a more realistic than is the 'lock and key' metaphor for conferring specificity on the reaction. The strength of the interaction will vary between any given magnet and a series of substrates that describe a continuum of attraction potential. Therefore, the catalyst may interact with unintended sequences if given enough time or if in excess concentration. However, the catalyst may act on these secondary targets only after acting on the primary target or if the primary target is saturated with the editing complex, causing the impression of a precise reaction, i.e. off-target effects [\(Brinkman et al., 2018; Zhang et al., 2018](#page-11-0)).

Site-specific protein-nucleic acid interactions are also a feature of RNA interference (RNAi), which is associated with gene silencing. RNAi relies on RNA:RNA (sometimes RNA:DNA) hybridization to impart sequence-guided argonaut protein interactions. Small guide RNAs (e.g. siRNA) derived from double-stranded RNA (dsRNA) have an equivalent role to gRNA for CRISPR/Cas.

At efficiencies of effectiveness in uncontrolled environments that meet commercial or other objectives, a fundamentally new challenge for risk assessment will emerge [\(Li et al., 2021; Mueller, 2021](#page-11-0)). When designing site-directed biotechnological tools - whether or not the protein relies on a nucleic acid guide - predicted sites of action are firstly, sometimes exclusively, informed by *in silico* searches for nucleotide sequences in known DNA or RNA molecules that may be alternative substrates from those intended.

No in silico search-and-design approach has met the scientific standards necessary to validate in silico methodology as a substitute for in vivo direct testing for off-target effects of site-directed tools ([Hanning et.](#page-11-0) [al., 2013](#page-11-0)). In a recent report on CRISPR/Cas9 use in zebrafish (Höijer [et al., 2022\)](#page-11-0), the authors found insertions and deletions of sizes up to several kilobases, at on- and off-target sites, which were not predicted by available computational tools.

In vivo testing of HPV-negative squamous epithelial cells (normal cervix NCx and skin HaCaT cells) transfected with therapeutic siRNAs also showed no correlation between the number of computationally predicted off-target sites and the actual number of off-target mutations ([Hanning et al., 2013](#page-11-0)). On average, only 20.5 % of actual transcriptional off-target sites were computationally predicted whereas unpredicted off-targets included stimulation of innate immune pathways, as well as indirect (downstream) effects of other off-target genes, which affected important cancer-associated pathways. In addition, only 0–5.9 % of differentially expressed genes overlapped between the two cell types, thus showing strong cell-dependent effects.

In another study, plants imbibed water with dsRNA molecules that were insecticidal to hoppers. Consumption of exposed hoppers by spiders caused gene silencing in spider midgut cells. Drinking the plant guttation water caused systemic gene silencing in the spider.

Nevertheless, in silico surveys are useful to predetermine sites that could be of interest and prioritize them for risk assessment. A useful survey will likely generate more false positives than negatives, but when biosafety is the priority, minimizing false positives is not. The in silico tools used to create and troubleshoot unintended effects are only superficially different depending on whether the intention is to create siRNAs or gRNAs. And whether or not the intention behind the use of the RNA as siRNA or gRNA, the molecules can sometimes trigger either pathway [\(Sharma et al., 2022\)](#page-11-0).

Risk assessment depends on a robust hazard identification process. Hazards only exist in relation to something. Regulatory risk assessment is therefore a process of describing what is to be protected (a protection goal) and formulating hypotheses as to how a hazard adversely affects the protection goal.

We present an *in silico* analysis and a discussion of unintended effects in non-target species through which CRISPR/Cas open air application technology could cause adverse effects. At first it may seem confusing that in a paper on genome editing we chose to model hypothetical gRNAs based on dsRNA molecules developed for producing siRNAs that induce RNAi. However, while the details of the biochemistry of RNAi differ from CRISPR/Cas, the specificities and limits of base-pairing are thought to be necessary if not sufficient to confer target "precision" in both techniques.

For our *in silico* exercise, we have assumed that the chosen gRNAs were developed based on efficacy for site-directed interaction *in vivo.* They would also have benefitted from some exercise to ensure that they were active only on the intended target nucleotide sequence.

In silico tools are accepted as a starting point for identifying high quality genome editing and gene silencing guides often with the suggestion that their specificity will result in high reaction efficiency for intended effects. The latter is referred to as precision. This study examines the other side of precision. That is, do the same *in silico* tools also predict that the guides will not catalyze reactions at locations for which they were not intended?

2. Material and methods

2.1. Description of scenarios

We have performed an *in silico* analysis of potential unintended activities in exposed species to predict the potential ecological impacts of genome editing for pest and disease control. Three cases (plausible scenarios) have been chosen for the purpose of identifying plausible hypotheses of harm to selected protection goals from the use of genome editing to control an agricultural pest. In this context, we examined three target organisms hypothetically capable of harboring the CRISPR/ Cas9 complex and a gene with an agronomic trait of interest. The selection of target organisms considers their natural environment and interactions with non-target organisms. For each scenario ([Fig. 2](#page-4-0)) we considered the strategies currently employed to deliver agricultural products also applicable to deliver CRISPR/Cas9 complex solutions or pellets ([Fig. 1](#page-2-0)), that would be plausible for the target insect to be exposed. The target genes used in this analysis were "borrowed" from those previously identified from others who used the same gene as a target in RNAi-based pest and disease control. The targeting of a gene by RNAi also involves hybridization.

In the first scenario, the Western corn rootworm (WCR), *Diabrotica virgifera virgifera* LeConte*,* was chosen as an insect target. WCR is an important pest in *Zea mays*, where its larvae feed on maize roots, leading to decreased plant growth and reduced yield ([Meinke et al., 2009\)](#page-11-0). The insect is considered one of the major pests in the United States [\(Sap](#page-11-0)[pington et al., 2006](#page-11-0)) and Europe [\(Miller et al., 2005\)](#page-11-0), mainly because of field-evolved insecticide resistance ([Meinke et al., 2021](#page-11-0)).

In the second scenario, the Red Flour Beetle, *Tribolium castaneum,* was chosen as an insect target. This beetle infests a large diversity of

Fig. 1. Graphic presentation of application methods proposed for an open-air technology of CRISPR/Cas. In this study, we consider applications of CRISPR/Cas molecules through irrigation water (sprinkler and or center pivot irrigation) – Scenario #1, fumigation technology (filling an area with gaseous pesticides) – Scenario #2, and fertilizing strategies (direct applications of pellets into soil) – Scenario #3.

cereal flours [\(Agarwal and Agashe, 2020\)](#page-10-0) and is considered a significant global pest of stored food products [\(Abd El-Aziz, 2011;](#page-10-0) [Campbell et al.,](#page-11-0) [2021\)](#page-11-0). In addition, *T. castaneum* is considered a model beetle for several other species ([Kumar et al., 2018\)](#page-11-0).

In the third scenario, the necrotrophic fungus *Sclerotinia sclerotium* is the target species. This fungus is an major agricultural pathogen, and it can colonize over 400 crops ([Bolton et al., 2006\)](#page-11-0), such as *Glycine max, Phaseolus vulgaris, Gossypium hirsutum,* and *Brassica napus* ([Boland, Hall,](#page-11-0) [1994\)](#page-11-0). In favorable environments for the development of the disease, yield losses often surpass 20–35 %, although cases of over 50 % and as high as 80–100 % have been documented in various places, particularly in temperate climates [\(Alkooranee et al., 2019](#page-10-0)).

Open air application of CRISPR/Cas results in direct exposures to target and non-target organisms through contact, inhalation, or ingestion. Release of reagents such as aerosols or gels is intended to create contact exposures on target plants or pests. Non-target organisms, such as invertebrate pollinators, may also experience contact exposures. Both target and non-target animals may inhale the aerosol. Plant pests and pest predators may ingest the formulation by eating residues on the surface of exposed plants or prey.

Indirect exposures follow from ingestion of organisms that have been exposed and contain reagents in an active form within their cells. For example, pollinators could ingest pollen and insect predators could ingest pests that have internalized spray. For herbivores, invertebrates, farm animals and soil organisms, the route of exposure is leaves, roots and grains ([Bachman et al., 2016](#page-11-0)).

2.2. Target gene selection and gRNA design

The Snf7 ortholog DvSnf7 gene was chosen for scenario #1 as the target for *Diabrotica virgifera virgifera* (Gene ID: 114337301) because it was previously described as a potential RNAi target for the control of WCR ([Bolognesi et al., 2012\)](#page-11-0). A 240 bp RNA transcript from the WCR Snf7 gene was developed as a commercial product. Its spontaneous folding produces a dsRNA that induces RNAi-mediated coleopteran resistance. The 240 bp dsRNA described by Bolognesi and colleagues (2012) was identified in the National Center for Biotechnology Information (NCBI) using the Basic Local Alignment Search Tool (BLAST), against *Diabrotica virgifera virgifera* (taxid:50390) genome.

For case 2, the conserved gene RpII140 (Gene ID: 663520) of *Tribolium castaneum* was selected as target. This gene is part of the DNAdirected RNA polymerase II subunit that catalyzes the transcription of DNA into RNA ([Knorr et. al., 2018\)](#page-11-0) and when used as a target for RNAi, silencing kills 88.89 % of exposed *T. castaneum* ([Knorr et al., 2018\)](#page-11-0).

For scenario 3, the SS1G_01703 - ABHYDROLASE-3 gene (Gene ID: 5493374) from *Sclerotinia sclerotiorum* gene, which was previously

identified as a potential RNAi target ([Wytinck et al., 2022](#page-11-0)), was chosen. This gene is predicted to be involved in aflatoxin biosynthesis and pathogenicity factor.

The gRNAs were designed on CRISPOR [\(Concordet and Haeussler,](#page-11-0) [2018\)](#page-11-0) and were selected based on high GC% content (between 40 % and 70 %) and high out-of-frame scores (complete knockout efficiency on-target and specificity score). For each case, input sequences were partial sequences from the genes previously chosen. The full description of input sequences, along with their size and number of possible guide sequences, is available in Supplementary file #1. The number of mismatches at off-target sites in the target genome was also considered to avoid having binding sites in related genus and species regardless of their protection status. gRNAs with lower mismatches levels were selected to provide higher specificity of the gRNA sequences.

2.3. In silico prediction of unintended CRISPR binding

To identify possible unintended activities, the three gRNA sequences were analyzed by the Cas-OFFinder in CRISPR RGEN Tools ([Park et al.,](#page-11-0) [2015\)](#page-11-0). CasOFFinder performs DNA searches based on reference genomes from selected species. Here, we selected species based on protection goals established for each scenario. This platform reads the input sequence data, which in our study were gRNA sequences designed for each case, and collects information about specific sites containing PAM sequences. These sequences are then delivered to a comparator, which counts the number of mismatched bases and selects potential off-target sites that have fewer mismatched bases than the parameters given ([Bae](#page-11-0) [et al., 2014](#page-11-0)). In this case, the parameters allowed up to four base mismatches and identical protospacer adjacent motifs (PAM) sites which in our case is 5′-NGG-3′from SpCas9 from *Streptococcus pyogenes* [\(Smith](#page-11-0) [et al., 2020](#page-11-0)). CasOFFinder provides an output of potential off-target DNA sequences with mismatched bases, alongside the chromosome number, position, direction, and number of mismatched bases.

The output from Cas-OFFinder was then manually analyzed in the NCBI tool Genome Data Viewer (GDV), to identify matching DNA sequences [\(Rangwala et al., 2021\)](#page-11-0). The input provided to GDV included chromosome, location, and organism analyzed. The software provides the exon navigator element, which allows the evaluation of whether the analyzed binding sequence corresponds to a gene, and if so, which gene. Putative binding sites that did not match any gene were labeled as "intergenic hits" ([Rangwala et al., 2021\)](#page-11-0).

A manual search for each sequence hit was also performed when different reference genome versions were available in the software used (i.e. STRING (see below) and GDV). The sequences that did not have any corresponding gene were not considered for further analysis. Finally, poorly annotated reference genomes also contributed to a lack of gene

Table 1 Description of each scenario investigated in this study.

identification.

2.4. Metabolic enrichment of associated unintended binding sites

STRING (Search Tool for the Retrieval of Interacting Genes/Proteins) was used to put candidate unintended binding sites into a metabolic or physiological network context. STRING is an online tool that reports connections between proteins when a specific functional partnership may exist between them ([Szklarczyk et al., 2023\)](#page-11-0). This protein–protein association evidence is assessed, quantified, and compared against KEGG (Kyoto Encyclopedia of Genes and Genomes) pathway maps ([Kanehisa et al., 2021](#page-11-0)). KEGG computationally reconstructs biological systems by capturing information from data published in literature and data accumulated in the KEGG PATHWAY database ([Kanehisa et al.,](#page-11-0) [2021\)](#page-11-0).

STRING output is a 'confidence score' for each protein association which scores from zero to one and indicates the estimated probability of a given association being true, based on the available evidence in KEGG databases. Confidence scores are first computed separately for each evidence type and then integrated to generate a final confidence score for a given metabolic pathway ([Szklarczyk et al., 2023](#page-11-0)).

The input set of genes included the gene and intergenic hit list for each species in each case study. In total, 12 analyses in nine organisms were performed using STRING. Gene hits were enriched by the platform algorithm to connect metabolic networks and biochemical pathways for the analysis of the following categories: Biological Process (Gene Ontology), Molecular Function (Gene Ontology), Cellular Component (Gene Ontology), Reference publications (PubMed), Local network cluster (STRING), KEGG Pathways, Reactome Pathways, WikiPathways, Tissue expression (TISSUES), Human Phenotype (Monarch), Annotated Keywords (UniProt), Protein Domains (Pfam), Protein Domains and Features (InterPro), Protein Domains (SMART). For some species, disease-gene associations (DISEASES) category was also analyzed. Pathways with FDR *<* 0.05 were considered as significantly enriched.

3. Results

3.1. Predicted gRNA activity in target organisms

Three top ranked gRNA molecules were chosen for each scenario based on their location within one of the corresponding gene exons, met threshold GC% content and out-of-frame score, and a high MIT specificity score (few unintended hits) ([Table 2\)](#page-4-0). For the respective gRNAs selected in scenarios $#1$ and $#3$, no unintended binding sites were predicted in the target genomes of *Diabrotica virgifera virgifera* or *Sclerotinia sclerotium*. By allowing up to four mismatches, the top ranked selected gRNA in scenario #2 was predicted to have three unintended binding sites in the target genome of *Tribolium castaneum*. Of these, one unintended site was located in an intergenic region (NC_007424.3), one in an intronic region (NC_007422.5), and one in an exonic region (NC_007421.3) each.

3.2. Identification of protection goals and relevant exposures

We began the hazard identification step by defining our protection goals. Each of the three cases we model has an intended target organism and a receiving environment from which we could identify plausible, overlapping, protection goals [\(Table 3\)](#page-4-0). Firstly, to be included the protection goal had to be a non-target organism (NTO) that provided an important ecological function in the intended receiving environment, which in our case is a farm agroecosystem. Secondly, a reasonable hypothesis could be made for the protection goal to be exposed to genemodifying agents. Exposure could be direct or indirect but limited to first level food webs. Thirdly, we limited our study to the investigation of eukaryotes. Rising to the top of our list were people (e.g., the farmers and their families), on-farm animals (e.g., livestock and pets), crops, and beneficial organisms (pollinator, predator, soil invertebrates). See Supplementary File 2 for genomes and RNA reference databases used for these analyses.

The selection of species was constrained by the imbalance of species representation in publicly available databases. For example, *Eisenia andrei, Coleomegilla maculata, Poecilus chalcites* (soil organisms), *Coccinella septempunctata* (invertebrate predator) and *Parthenium hysterophorus and Brachiaria reptans* (wild plants that may also be weeds) are underrepresented. To overcome this limitation, we selected available related species with the same or similar ecological function for each case study (ie *Metarhizium anisoplia; Brassica sp., Sorghum bicolor, Panicum virgatum, Setaria viridis*).

3.3. Predicted gRNA activity sites in NTOs

By definition, any gRNA activity in an NTO is a kind of off-target

Table 2

gRNA design parameters for target organisms in the three case studies. gRNA relevant parameters include: the position in target gene (gene location and strand sense), in which exon the gRNA is located, CG contend (percentage of guanine and cytosine in gRNA sequence), out-of-frame score (0–100; prediction of likelihood of a gene knock-out). The last 5 columns are predicted unintended hits categorized by the number of nucleotide mismatches in relation to the gRNA sequence.

Table 3

Ecological function and non-target species analyzed (indicated by dots) in each case. Organisms not included in a scenario are indicated by dashes.

activity because it is unintended. Off-target activity can be avoided or minimized by using gRNAs that have no potential hybridization activity anywhere in the NTO genomes, or by ensuring that NTOs are not exposed to gRNAs. The latter may not be an option for applications described in Fig. 2.

The likelihood that two organisms share a common DNA (and potential gRNA binding site) sequence is an inference of homology. Our hypothesis was that the longer ago any two species shared a common ancestor, the fewer potential conserved binding sites.

However, the length of evolutionary time between divergence of the NTOs that are protection goals, and the target species was not predictive of the likelihood of unintended hybridization. Relatedness cannot be relied upon as a predictor of susceptibility or resistance to unintended activities.

Despite the evolutionary distance between target (insect and fungi species) and non-target organisms (humans and cattle). No binding sites

Fig. 2. Schematic representation of direct and indirect exposure routes for the non-target species selected for this study. For each scenario (top row), an application method (second row), exposure route (third row) and non-target exposure (bottom row) are illustrated. Ingestion may be direct (e.g., drinking contaminated nectar) or indirect (e.g., eating pollen that has internalized the reagents). Indirect exposure routes include the linkage via food webs.

were identified in the genomes of the predator and soil invertebrate genomes analyzed.

Absence of predicted unintended binding sites in invertebrates (or any particular species of any organism group) should be interpreted with caution. False negative results may arise from several factors. Firstly, it could be due to a small number of genomes from some NTO species in publicly available databases. Secondly, the known intra-species diversity of NTOs may vary. The *Homo sapiens* genome was last revised recently, but the *Caenorhabditis elegans* genome was last revised in 2013. Thirdly, *in silico* models cannot be informed for the many biochemical variables that could influence hybridization or other reaction parameters and are determinative of initiation or completion of an editing reaction. Therefore, an *in silico* analysis such as this may provide a false assurance of a few binding sites in protection goal species underrepresented in the databases.

The total number of predicted binding sites in NTO genomes is presented in Table 4. Out of 18 selected NTOs, we found potential un intended hybridization in 12 species, and these ranged from one (e.g., scenario #1 *Apis mellifera*) to 36 (scenario #2, *Homo sapiens*) sites, depending on the target organism. Where putative binding sites were found, they were in both genic and intergenic regions. We decided to report on intergenic unintended hybridization sites because they may later become associated with some function.

Potential binding sites were identified in nearly half (eight of 16) of the NTOs in scenario #1 (Table 5). In these eight species, the number of potential binding sites ranged from one in soybean and sorghum to 16 in

Table 4

Number of unintended off-target binding sites predicted in NTOs for the 3 scenarios.

Non-target organism (species name)	Scenario #1 (Diabrotica virgifera virgifera)	Scenario #2 (Tribolium castaneum)	Scenario #3 (Sclerotinia sclerotium)
Homo sapiens (humans)	24 unintended sites (8 intergenic regions)	36 unintended sites (15 intergenic regions)	5 unintended sites (2 intergenic regions)
Bos taurus (cattle)	19 unintended sites (11 intergenic regions)	3 unintended sites (1 intergenic region)	
Gallus gallus (chicken)	9 unintended sites (5 intergenic regions)		
Mus musculus (mouse)	29 unintended sites (17 intergenic regions)	4 unintended sites (1 intergenic region).	4 unintended sites (1 intergenic region)
Zea mays (maize)	4 unintended sites (2 intergenic regions)	1 unintended site (1 intergenic region)	
Glycine max (soybean)	2 unintended sites (1 intergenic region)		1 unintended site (1 intergenic region)
Gossypium hirsutum (cotton)	÷		1 unintended site (1 intergenic region)
Sorghum bicolor (millet)	3 unintended sites (2 intergenic regions		2 unintended sites
Panicum virgatum (switchgrass)	2 unintended sites	÷,	2 unintended sites (2 intergenic regions)
Setaria viridis (green foxtail)	2 unintended sitess (1 intergenic region)		
Apis mellifera (honeybee)	٠		1 unintended site
Caenorhabditis elegans (roundworm)	1 unintended site (1 intergenic region)	ä,	

Table 5

Homo sapiens

Homo sapien

Bos taur

Bos taur

Gallus

Gallus gallus

Gallus gallus

Gallus gallus

Mus muscu

Mus musci

Mus $musc$

Mus musci

Mus musculus

Mus muscu

Mus musculus

Mus musci

Mus muscu

Unintended hybridization sites for gRNA DvSnf7 in NTOs (Scenario #1).

(*continued on next page*)

Table 5 (*continued*)

humans. The number of mismatches ranged from two (cattle) to four. Humans were the most likely to be affected by exposure to CRISPR/Cas irrigation water based on the number of potential hybridization sites.

Five NTOs were analyzed in scenario #2, *Homo sapiens, Bos taurus, Mus musculus, Gallus gallus,* and *Zea mays*. Potential binding sites were found in four of them (Table 6), ranging from three in the genome of *Mus musculus* to 21 in *Homo sapiens.* Potential binding sites in the *Homo sapiens* genome included proteins with antimicrobial activity, such as encoded by the defensin beta gene. Other possible binding sites in human genomes include the sarcoglycan delta gene which is produced in skeletal and cardiac muscle. Potential unintended binding sites in the *Mus musculus* genome were in genes involved in the functioning of the Golgi apparatus, ventricular layer, and nervous system development.

Potential binding sites were found in four of seventeen NTO genomes analyzed in scenario #3 (Table 7). They ranged from one found in the *Apis mellifera* genome and three in *Homo sapiens*. One potentially affected gene was matrix metalloproteinase-2 of *Apis mellifera.* This gene is involved in extracellular protein processing.

3.4. Predicted metabolic disturbances in exposed NTOs

A metabolic enrichment pathway analysis was used to predict possible effects of gRNA hybridizations in NTOs. Such analysis is based on assuming that gRNA hybridization at the identified complementary DNA sequences from above would result in loss of the annotated function of the genes through an unintended editing reaction. To begin to construct hypotheses of potential adverse effects from the mutation of these genes, we use KEGG and GenBank databases to retrieve information on metabolism, biological processes, and physiological responses after CRISPR/Cas exposure. From this a snapshot of the top ranked statistically significant metabolic pathways are described in the next sections. The confidence in such analysis is derived from statistical modeling of one-to-one gene and protein networks and the number of genes or proteins affected in a specific network.

Several gene hybridization sites (21) from the above sections were not found by string database search because they are mostly comprised of non-coding RNA (ncRNA), long non-coding (lcRNA), or pseudogenes, and have not been annotated. These genes and all output results can be found in Supplementary Files 3, 4 and 5.

3.5. Human (Homo sapiens)

Using KEGG predictions, the potential binding of the DvSnf7 targeting-gRNA to identified sites in the *Homo sapiens* genome could result in editing activity that altered the function of genes that affect 43 metabolic pathways in scenario $#2$ [\(Fig. 3\)](#page-7-0). The RpII140-targetinggRNA could direct nucleases to genes in three pathways.

Table 6

Table 7

Unintended gene hits for gRNA ABHYDROLASE-3 in NTOs (Scenario #3).

Fig. 3. Interaction network of proteins enriched by string database for scenario #2. Network nodes represent proteins and edges represent protein-protein associations. Blue lines represent known interactions from curated databases, while purple lines represent experimentally determined interactions. Green lines represent gene neighborhood predicted interactions.

Scenarios #2 and #3 describe outcomes where adverse effects include deafness, central nervous system cancer, nevoid basal cell carcinoma syndrome, and medulloblastoma. KEGG pathway enrichment analysis on putative binding sites of the gRNA illustrated in scenario #2 identify potential effects on pathways involved in hedgehog signaling, the glutamatergic synapse, cancer, endocrine system, and calcium reabsorption, among others [\(Table 8\)](#page-8-0).

3.6. Cattle (Bos taurus) and chicken (Gallus gallus)

Metabolic enrichment for scenario #1 *Bos taurus* showed potential effects on 13 metabolic pathways [\(Fig. 4\)](#page-9-0). Possible alterations occur in arginine and proline, alanine, aspartate, and glutamate metabolism ([Table 9](#page-9-0)).

Metabolic enrichment based on genes potentially altered by editing in *Gallus gallus* identified effects on cardiac muscle contraction. The mitophagy pathway was also potentially affected. This pathway is connected to mitochondrial autophagy, a physiological process that contributes to the integrity of a well-functioning mitochondrial network and the prevention of programmed cell death by removing damaged mitochondria.

3.7. Mouse (Mus musculus)

Metabolic enrichment for *Mus musculus* scenario #2 identified four affected biological processes that could affect hemostasis, immune response, inflammation, embryogenesis, and development of neuronal tissue ([Table 10\)](#page-9-0).

3.8. Western honeybee (Apis mellifera)

Metabolic enrichment for *Apis mellifera* scenario #3 revealed 39 affected biological processes ([Table 11](#page-10-0)). The affected pathways are associated with the development of the nervous system, heart respiratory system, larval heart, and the zygote to a multicellular animal.

3.9. Farm crops and wild plants (Zea mays and Sorghum bicolor)

Metabolic enrichment for *Zea mays* scenario #1 suggested that eight

biological processes could be affected, including pathways needed for lipid biosynthesis. Lipid biosynthesis acts in cellular functions such as recognition, cell adhesion, proliferation, and differentiation. Modifications predicted for *Sorghum bicolor* scenario #3 include pathways for ubiquitin proteolysis, involved in cellular processes, and pathways associated with developmental processes involved with reproduction and embryo development [\(Table 12](#page-10-0)).

4. Discussion

The issue central to this work was the identification of hazards that might arise from the use of genome editing reagents outside of contained laboratory facilities. Such exposures would not be restricted to single species. We argue that if *in silico* tools are effective at predicting the target DNA sequences where the editing reactions will occur, then they are also useful for predicting some of the unintended binding sites when both target and non-target organisms are exposed.

We assessed the hypothesis that the application of the CRISPR/Cas9 formulated product in an open-air environment can impact other species in three realistic scenarios. Using these tools in uncontrolled environments has the potential to elevate genome editing (and gene silencing) to the class of emerging environmental contaminants.

The selected NTOs were identified as protection goals likely to be exposed. From the predicted potential for hybridization between a gRNA and complementary sequences of nucleotides in the genome of an NTO, many unintended genes could be affected ([Tables 4](#page-5-0)–7). Neither the relatedness of the target to the NTO nor even the biological kingdom of the NTO were predictive of likelihood of unintended activity. Therefore, all species of concern may have to be specifically examined in a risk assessment.

Potential adverse effects were significant. Metabolic enrichment analysis was used to identify these effects on NTOs [\(Tables 8](#page-8-0)–12). Unintended activity could result in significant biological effects in all nontarget plants and animals examined, such as the effects on immune responses, essential molecule biosynthesis, and the central nervous system. These results, consistent with existing literature on editing techniques, provide a clear rationale for the need to evaluate vulnerable NTOs in any proposed use of spray or topical techniques in the environment.

Table 8

Metabolic pathways ID; description; observed gene count; and false discovery rate for *Homo sapiens* in scenario #2.

In silico tools are useful for constructing plausible hypotheses of potential adverse effects in NTOs but are not validated because the frequency of false negatives is unknown. Their use provides the *minimum* number of unintended sites that should be examined.

NTOs have historically not been a risk focus because genetic engineering was performed on the intended organism in a laboratory that minimized the potential for NTOs to be exposed to the gene-modifying procedures. Deregulation of the procedures as is being proposed in some emerging risk frameworks ([Kenward, 2023; Heinemann, 2023;](#page-11-0)

[European Commission, 2023\)](#page-11-0) would make it possible to use them in either built or open environments that do not control for exposures or for the release of organisms modified following an unintended exposure.

Externally applied reagents that facilitate the penetration of cells for the delivery of genome editing or gene silencing reagents are gaining attention because of their potential to enhance pest management, reduce toxicity of exposures associated with conventional pesticides, and provide benefits to consumers [\(Heinemann, 2019\)](#page-11-0). The report of the Ad Hoc Technical Expert Group on Synthetic Biology ([Secretariat of the](#page-11-0) [convention on biological diversity, 2019](#page-11-0)) identified topical penetration agents for use in genome editing or (RNAi as amongst several new synthetic biology applications. It is worth noting that due to the rapid advancements in the field, future synthetic biology organisms may fall outside the existing definition of a "living modified organism" as outlined in the Protocol. This raises concerns regarding the assessment and monitoring of such organisms and highlights the need for fluid and evolving GMO risk assessment definitions [\(Li et al., 2021; Heinemann](#page-11-0) [et al., 2023\)](#page-11-0).

The *in silico* predictions presented in this study provide an overview into the potential effects on organisms inadvertently exposed during the use of topically applied reagents to cause genome editing or RNAi. However, these lists are not exhaustive for several reasons.

Firstly, we based our analyses only on organisms with sequenced and annotated genomes in publicly available databases. Unfortunately, only some of the many protection goals that would inhabit the places where these techniques would be used were represented in the databases.

Small deviations in the activity of keystone species can cause cascading effects. If these species are under-represented, the hazard assessment is compromised. Take, for instance, the role of earthworms in pastures. Earthworms contribute to ecosystem services such as nutrient cycling, soil structure formation, soil quality improvement, and water regulation [\(Blouin et al., 2013; Fonte et al., 2023](#page-11-0)). In New Zealand, which is a pasture-based cattle agroecosystem, earthworm activity is valued at \$365–440/ha annually [\(Schon, Gray, Mackay, 2016](#page-11-0)). A reduction in earthworm activity does not have to stem from the loss of a particular gene but simply from the burden of mutagenesis due to repeat exposures to genome editing or silencing reagents ([Miller et al., 1999;](#page-11-0) [Heinemann and Billington, 2004\)](#page-11-0). Changes in earthworm activity at the microscale can translate into large effects at the macroscale, particularly on cattle productivity.

Secondly, the target and non-target organisms considered in this study were limited to eukaryotes. If we were to expand our analysis to include prokaryotes, then a greater depth and breadth of protection goals would need to be considered.

Thirdly, the effects of gene technology used in uncontrolled environments are not limited to unintended changes in NTOs from intended activity. A gRNA designed for a genome editing reaction can upon release into the environment and uptake by cells of either target or NTOs become a reagent for an unintended RNAi or gene editing reaction ([Sharma et al., 2022](#page-11-0)). Furthermore, the co-formulants that will be used with the genome editing or gene silencing reagents will also be potential contaminants due to a direct toxicity or their inherent capacity to capture and transport cargo molecules into cells.

5. Conclusions

Based on the use of the best available *in silico* tools we predict that the use of genome editing in a context where the intended organism is modified *in situ* in the environment will result in unintended changes in the genomes of NTOs. These organisms may provide important ecological functions or be protection goals for other reasons, or they may be undesirable organisms. Whether the NTOs are desired or not, the consequences of modifying them remain unpredictable because of the large number of unintended modifications. gRNAs activity was observed in 12 out of the 18 species of NTOs investigated in this study. These hybridization sites revealed genes with functions in several annotated

Fig. 4. Interaction network of proteins enriched by string database for *Bos taurus* scenario #1. Network nodes represent proteins and edges represent protein-protein associations. Blue lines represent known interactions from curated databases, while purple lines represent experimentally determined interactions. Green lines represent gene neighborhood predicted interactions.

Table 9

Metabolic pathway ID; process description; observed gene count; and false discovery rate for *Bos taurus* and *Gallus gallus* in case #1.

metabolism, from central nervous system morphogenesis in honeybee to several pathways related to cancer and hormone metabolism in humans. In total, 155 metabolic pathways were enriched for the three gRNA scenarios in the 12 species with the majority of hits in the human genome. For proposed uses of the reagents of genetic engineering outside of contained laboratories, new risk assessment frameworks are needed ([Heinemann, 2019](#page-11-0)) and should also include the assessment of their environmental persistence. The work here justifies their inclusion in legal instruments that manage the risk of gene technology and the impact of gene technology reagents in the context of emerging contaminants and potential hazards, as threats to human and environmental health.

CRediT authorship contribution statement

Tessa Hiscox: Writing – review & editing, Writing – original draft,

Table 11

Metabolic pathway ID; process description; observed gene count; and false discovery rate for *Apis mellifera* in scenario #3.

Visualization, Validation, Methodology, Investigation, Formal analysis, Conceptualization. **Philomena Chu:** Writing – review & editing, Writing – original draft, Conceptualization. **Sarah Zanon Agapito-Tenfen:** Writing – review & editing, Writing – original draft, Visualization, Validation, Supervision, Resources, Project administration, Methodology, Investigation, Funding acquisition, Conceptualization. **Aline Martins Hoepers:** Writing – review & editing, Writing – original draft, Visualization, Validation, Software, Formal analysis, Data curation. **Caroline Bedin Zanatta:** Writing – review & editing, Supervision,

Table 12

Metabolic pathways ID; process description; observed gene count; and false discovery rate for *Zea mays* and *Sorghum bicolor.*

Software, Methodology, Formal analysis, Data curation. **Jack A. Heinemann:** Writing – review & editing, Writing – original draft, Visualization, Validation, Supervision, Methodology, Investigation, Conceptualization.

Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

Data Availability

Data is available in Supplementary files.

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Appendix A. Supporting information

Supplementary data associated with this article can be found in the online version at [doi:10.1016/j.ecoenv.2024.116707](https://doi.org/10.1016/j.ecoenv.2024.116707).

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